

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	Application of:)
	Christopher R. Tudan	Ś
	Ahmed Merzouk	ń
	Lakhdar Arab	í
	Geeta Saxena	í
	Connie J. Eaves	í
	Johanne Cashman	í
	Ian Clark-Lewis	í
	Hassan Salari)
Serial	No. 10/086,177)
Filed:	February 26, 2002)
For:	CXCR4 AGONIST TREATMENT OF HEMATOPOIETIC CELLS)

Declaration of Pertinent Facts in Support of Petition Under Rule 1.47(a)

I, Brian G. Kingwell, a Canadian citizen of 375 West 17th Avenue, Vancouver, British Columbia, Canada, V5Y 1Z9, hereby declare that:

- I am a partner in the law firm of Smart & Biggar, registered to act before the United States Patent and Trademark Office on behalf of Canadian applicants under Registration No. 39,482. In that capacity, I have assisted in the preparation and filing of the above-referenced application.
- 2. The above-referenced application was prepared in part based upon instructions from Dr. Christopher R. Tudan, given to me while he was employed by Chemokine Therapeutics Corporation. At all times, I have been of the understanding that rights in the invention are owned by Chemokine Therapeutics Corporation. Following the filing of the application, I understand Dr. Tudan's employment with Chemokine Therapeutics Corporation was terminated by the corporation.
- Since filing the application, I have on a number of occasions forwarded to Dr. Tudan documents for execution in support of the application, including a Declaration (under 37 CFR 1.63), as well as an Assignment. These documents have been executed by the other inventors. Dr. Tudan has indicated to me in writing that he has received the documents, but that he refuses to sign the documents, as evidenced by the documents attached hereto and itemized in the following paragraphs.
- 4. Attached as Exhibit "A" to this Affidavit is a copy of an email dated

COPY OF PAPER

23 April 2002 from Dr. Tudan to myself, which refers to an attached letter. Attached as Exhibit "B" to this Affidavit is a redacted copy of the letter dated 23 April 2002, which was attached to the email which is in Exhibit "A". The signed document referred to in the letter related to a patent application other than the above-referenced application.

- 5. Following my receipt of the communications in Exhibits "A" and "B", I wrote to Dr. Tudan to again ask for his assistance in executing the application documents. A copy of my letter to Dr. Tudan dated 01 May 2002 is attached as Exhibit "C". The letter of Exhibit "C" was sent to Dr. Tudan with a copy of the application as filed. In response to my communications, I received from Dr. Tudan the email dated 22 May 2002, which is attached to this Affidavit as Exhibit "D".
- 6. I understand that there is legal action ongoing relating to Dr. Tudan's termination by Chemokine Therapeutics Corporation.
- 7. To date, we have received no executed Declaration or Assignment from Dr. Tudan in respect of the present application.
- To the best of my knowledge, the current address and contact information for Dr. Tudan are as given on the header of the letter of 23 April 2002, from Dr. Tudan to myself, as follows: Christopher Tudan, Ph.D., Cytochroma Inc., 116 Barrie Street, Suite 2424. Bioscience Complex, Queen's University, Kingston, Ontario K7L 3N6, Canada.
- I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardise the validity of the application or any patent issued thereon.

Date: 30 Jul 2002

Bran G. Kingwel

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

(37 CFR 1.63)

OR

Declaration Submitted with Initial Filing

_Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)) required)

Attorney Docket Number	SMAR-012CIP	\ 0.0
First Named Inventor	Tudan et al.	1 36
COMPLE	ETE IF KNOWN	1
Application Number	10/086,177	-
Filing Date	February 26, 2002	1
Group Art Unit	N/A	
Examiner Name	N/A	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

CXCR4 AGONIST TREATMENT OF HEMATOPOIETIC CELLS

the s	pecif	ication	of	which:
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is attached hereto

OR

was filed on February 26, 2002 as United States Application Number 10/086,177.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined by 37 CFR 1.56.

Insofar as the subject matter of each of the claims of this application are not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designating at least one country other than the United States of America, listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) having a filing date before that of the application(s) of which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?		
				YES	NO	
2,305,036 2,335,109	Canada Canada	04/12/00 02/23/01				
Thereby claim the honefit under 25 U.S.C. 1	10() . 6	St		n(a) listed below	n(s) listed below	

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)
60/232,425	09/14/00

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application(s) designating the United States of America, listed below.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
09/835,107	04/12/01	

		DIR	ECT AI	LL COF	RESPON	DENCE	TO:		T.O. OWN IN OTZEN
Name	Bret E. Fie	ld		·	,				
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City, State, Zip	Menlo Park,	CA 94025							
Country	U.S.A.			Tel	ephone	650-327-	3400	Facsimile	650-327-3231
believed to be true punishable by fine application or any	nat all statements m e; and further that the e or imprisonment, of patent issued there r First Inventor:	ese stateme or both, und on.	ents were i	made with	the knowled	lge that will	ful false sta	atements and the	like so made are
Gi	ven Name (first and	middle [if	any])		1		Family Na	me or Surname	**.
Christopher R.			•		Tudan				
Inventor's					, uda			Date	
Signature Residence: City	Vancouver	· · · · · · · · · · · · · · · · · · ·	State	B.C.	Country	Canada		Citizenship	Canada
Post Office Address	204-2335 York	Street	<u></u>	1 .	<u> </u>				
City	Vancouver	State	B.C.	Zip	V6K 1C8	3	Country	Canada	
Name of Second	l Inventor:								
Giv	en Name (first and	middle [if	any])				Family Na	me or Surname	
Ahmed					Merzouk				
Inventor's Signature			_ (Date	Tue 4th 200
Residence: City	Richmond		State	B.C.	Country	Canada		Citizenship	Canada
Post Office Address	112-9071 No. 5	Road	1	<u> </u>					
City	Richmond	State	B.C.	Zip	V7A 4E1		Country	Canada	
Name of Third	Inventor:								
Giv	en Name (first and	middle [if	any])	- <u>-</u> · · <u>-</u>]	Family Na	me or Surname	
Lakhdar					Arab		<u> </u>		
Inventor's Signature		2 Harris	n		<u> </u>			Date	June 4th Zeroz
Residence: City	Vancouver		State	B.C.	Country	Canada		Citizenship	Canada
Post Office Address	975 West 13 th A	venue			<u> </u>				*** **********************************
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Name of Fourth	Inventor:							rity DRC	10. SMAR-012CI
Giv	ven Name (first and m	niddle (if	any])]	Family Nam	e or Surname	
Geeta					Saxena				
Inventor's Signature					1	-		Date	
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Post Office Address	110-2875 Osoyoo	os Court			1				
City	Vancouver	State	B.C.	Zip	V6T 2G3		Country	Canada	
Name of Fifth I	nventor:								==
Giv	en Name (first and m	niddle [if	any])			I	Family Nam	e or Surname	
Connie J.		···			Eaves				
Inventor's Signature	1. Eave	າ						Date Hay 7,202	
Residence: City	Vancouver		State	B.C.	Country	Canada		Citizenship	Canada
Post Office Address	2705 West 31st A	venue	•						
City	Vancouver	State	B.C.	Zip	V6L 1Z9		Country	Canada	
Name of Sixth I	nventor:			<u></u>				<u></u>	
Giv	en Name (first and m	iddle [if	any])			F	amily Name	e or Surname	
Johanne			_		Cashman				;
Inventor's Signature	200	~		4	-t			Date May 1200	
Residence: City	Vancouver		State	B.C.	Country	Canada		Citizenship	Canada
Post Office Address	6070 Blenheim S	treet							
City	Vancouver	State	B.C.	Zip	V6N 1R1		Country	Canada	
Name of Sevent	h Inventor:		•						
Giv	en Name (first and m	iddle [if	any])			F	Family Name	or Surname	
Ian					Clark-Lev	wis			
Inventor's Signature								Date	
Residence: City	Vancouver		State	B.C.	Country	Canada		Citizenship	Canada
Post Office Address	409-2875 Osoyoo	s Court							
City	Vancouver	State	B.C.	Zip	V6T 1X5		Country	Canada	

Name of Eight	h Inventor:								01201
G		Family Name or Surname							
Hassan					Salari			.	
Inventor's Signature	He	34	L_		•			Date	May 6. 2012
Residence: City	Delta		State	B.C.	Country	Canada		Citizenship	Canada
Post Office Address	927 Pacific I	Drive	1	L	1	<u> </u>		<u>. </u>	1
City	Deltad	State	B.C.	Zip	V4M 2K	2 C	ountry	Canada	

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

(37 CFR 1.63)

Declaration Submitted with Initial Filing

_Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)) required)

Attorney Docket Number	SMAR-012CIP -					
First Named Inventor	Tudan et al.					
COMPLE	ETE IF KNOWN					
Application Number	10/086,177					
Filing Date	February 26, 2002	1 88				
Group Art Unit	N/A	1 93				
Examiner Name	N/A	E				
		1 7				

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

CXCR4 AGONIST TREATMENT OF HEMATOPOIETIC CELLS

the	speci	fica	tion	of	which:

is attached hereto

OR

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				YES	NO	
2,305,036 2,335,109	Canada Canada	04/12/00 02/23/01				
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I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

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60/232,425	09/14/00

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09/835,107	04/12/01	

		DIR	ECT Al	LL COR	RESPON	DENCE T	ro:		
Name	Bret E. Fie	ld							
Address	BOZICEVIO	C, FIELD &	FRANCI	IS LLP					
Address	200 Middlef	ield Road,	Suite 200						
City, State, Zip	Menlo Park,	CA 94025			·				
Country	U.S.A.			Tele	ephone	650-327-3	3400 F	acsimile	650-327-3231
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Christopher R. Inventor's	· · · · · · · · · · · · · · · · · · ·				Tudan			Date	
Signature				· · · · · ·		T			
Residence: City	Vancouver		State	B.C.	Country	Canada		Citizenship	Canada
Post Office Address	204-2335 York	Street							
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Name of Second	<u> </u>								
Giv	en Name (first and	middle [if	any]) 			F	amily Name	e or Surname	
Ahmed × <		M	,		Merzouk				
Inventor's Signature			•	•				Date 14	2002
Residence: City	Richmond		State	B.C.	Country	Canada	- <u>-</u> -	Citizenship	Canada
Post Office Address	112-9071 No. 5	Road							
City	Richmond	State	B.C.	Zip	V7A 4E1		Country	Canada	
Name of Third l	nventor:								
Giv	en Name (first and	middle (if	any])			F	amily Name	or Surname	
Lakhdar					Arab				
Inventor's Signature	V Alut	aktul	11		•			Date MAY 5 Th	2002
Residence: City	Vancouver		State	B.C.	Country	Canada		Citizenship	Canada
Post Office Address	975 West 13 th A	venue		<u> </u>	•	•	_ _	-	· · · · · · · · · · · · · · · · · · ·
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Gi	ven Name (first and	middle [if	any])			 _	Family Nam	e or Surname	
Geeta	Geeta								
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Connie J.					Eaves				
Inventor's Signature					.1.			Date	
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City	Vancouver	State	B.C.	Zip	V6L 1Z9		Country	Canada	
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Johanne					Cashman				
Inventor's Signature		-			<u> </u>	-		Date	
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Ian					Clark-Lev	vis			
Inventor's Signature			-		1,			Date	
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Post Office	409-2875 Osoyo	os Court	1	1		1		<u> </u>	

V6T 1X5

Country

Canada

Zip

City

Vancouver

State

B.C.

Name of Eight	h Inventor:							
G	iven Name (first a	nd middle [if	any])			Family N	lame or Surname	
Hassan			_		Salari			
Inventor's Signature	Hasa	So		``	<u> </u>		Date	Jun 03, 23-2
Residence: City	Delta		State	B.C.	Country	Canada	Citizenship	Canada
Post Office Address	927 Pacific D	rive	1	. 	<u> </u>	<u> </u>		<u> </u>
City	Deltad	State	B.C.	Zip	V4M 2K	2 Countr	y Canada	

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

(37 CFR 1.63)

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Attorney Docket Number	SMAR-012CIP	• •
First Named Inventor	Tudan et al.	
COMPLE	TE IF KNOWN	1 88
Application Number	10/086,177	1 8
Filing Date	February 26, 2002	1 =
Group Art Unit	N/A	13
Examiner Name	N/A	

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09/835,107	04/12/01	

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								Atty Dkt	No. SMAR-0120	
		DIR	ECT AL	L COR	RESPON	DENCE '	ГO:			
Name	Bret E. Field	Bret E. Field								
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Address	200 Middlefield	Road, S	Suite 200						-	
City, State, Zip	Menlo Park, CA	94025								
C untry	U.S.A.			Tele	phone	650-327-	3400 F	acsimile	650-327-3231	
application or any Name of Sole or	or imprisonment, or be patent issued thereon. First Inventor: en Name (first and m			C. 1001 an	d that such v			may jeopardize	the validity of the	
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Christopher R.					Tudan					
Inventor's Signature							-	Date		
Residence: City	Vancouver		State	B.C.	Country	Canada		Citizenship	Canada	
Post Office Address	204-2335 York St	reet	1	<u> </u>					·	
City	Vancouver	State	B.C.	Zip	V6K 1C8		Country	Canada		
Name of Second	Inventore		· · · · · · · · · · · · · · · · · · ·							
		111-116								
Giv	en Name (first and mi	adle (11 a	any])				amily Nan	e or Surname		
Ahmed					Merzouk					
Inventor's Signature								Date		
Residence: City	Richmond		State	B.C.	Country	Canada		Citizenship	Canada	
Post Office Address	112-9071 No. 5 R	oad								
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Name of Third	Inventor:							
Gi	ven Name (first and	middle [if	any])			Family	Name or Surname	
Lakhdar			•		Arab			
Inventor's Signature					.1		Date	
Residence: City	Vancouver		State	B.C.	Country	Canada	Citizenship	Canada
Post Office Address	975 West 13 th A	Avenue		I	1	<u>- </u>		_1
City	Vancouver	State	B.C.	Zip	V5Z 1P4	Count	ry Canada	

Name of Fourth	Inventor:							Aug Dat	110. SWAK-012CI
Giv	en Name (first and m	niddle (if	any])			· · · · ·	Family Nam	e or Surname	
Geeta					Saxena				
Inventor's Signature	/4€	tic 5	1 7,7 0 -	··.		· . <u></u>		Date	May 7 20 2
Residence: City	Vancouver		State	B.C.	Country	Canada		Citizenship	Canada
Post Office Address	110-2875 Osoyoo	os Court	<u></u>	. •	<u> </u>			•	
City	Vancouver	State	B.C.	Zip	V6T 2G3	}	Country	Canada	
Name of Fifth I	nventor:								
Giv	en Name (first and m	niddle [if	any])		Ţ		Family Nam	e or Surname	
Connie J.					Eaves				
Inventor's Signature					<u> </u>			Date	
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Post Office Address	2705 West 31st A	venue							
City	Vancouver	State	B.C.	Zip	V6L 1Z9		Country	Canada	
Name of Sixth I	nventor:							J	
Giv	en Name (first and m	iddle [if	any])			I	Family Name	e or Surname	<u> </u>
Johanne					Cashman				
Inventor's Signature								Date	
Residence: City	Vancouver	•	State	B.C.	Country	Canada	-	Citizenship	Canada
Post Office Address	6070 Blenheim St	treet							
City	Vancouver	State	B.C.	Zip	V6N 1R1		Country	Canada	
Name of Seventl	h Inventor:	, <u>.</u>							
Giv	en Name (first and m	iddle [if	any])			F	Family Name	or Surname	
Ian			_		Clark-Lev	wis			
Inventor's Signature								Date	
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Post Office Address	409-2875 Osoyoo	s Court							
City	Vancouver	State	B.C.	Zip	V6T 1X5		Country	Canada	

Name of Eight	h Inventor:								
G	ven Name (first a	and middle [if	any])	,- · · · · ·		Fan	nily Nam	e or Surname	
Hassan					Salari			· · · ·	·
Inventor's Signature					1			Date	
Residence: City	Delta		State	B.C.	Country	Canada		Citizenship	Canada
Post Office Address	927 Pacific I	Drive							.
City	Deltad	State	B.C.	Zip	V4M 2K	2 C	ountry	Canada	

POWER OF ATTORNEY BY ASSIGNEE



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Confirmation No.	N/A	
Examiner Name	N/A	
Title: CXCR4 Agonist Treati	nent of Hematopoietic Cells	

Chemokine Therapeutics Corporation, assignee of the above-identified application by assignment dated 5/5/02, 5/5/02, 5/6/02, 5/9/02, 5/9/02, 5/19/02, 5/6/02

hereby appoints:

BOZICEVIC, FIELD & FRANCIS LLP

Name	Registration No.	Name	Registration No.
Karl Bozicevic	28,807	Pamela J. Sherwood	36,677
Bret E. Field	37,620	Carol M. LaSalle	39,740
Carol L. Francis	36,513	Paula A. Borden	42,344
Alan W. Cannon	34,977	Frank P. Becking	42,309
Kathleen S. Hall	44,143	Robert C. Hall	39,209

as its attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

DIRECT ALL CORRESPONDENCE TO:

Individual Name	Bret E. Field		
Firm Name	BOZICEVIC, FIELD & FRANCIS LLP		
Address	200 Middlefield Road, Suite 200		
City, State, Zip	Menlo Park, California 94025		
Country	U.S.A.		
Telephone	(650) 327-3400	Facsimile	(650) 327-3231

SIGNATURE of Assignee of Record

In accordance with 37 CFR §3.73(b) I hereby certify that I am empowered to act on behalf of the Assignee of the above-identified patent application. The original Assignment is concurrently being forwarded to the Assignment Branch of the U.S. Patent Office for recordation.

I declare that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code §1001 and that such willful false statements may jeopardize the validity of the above referenced application or any patent resulting from that application.

Name and Company	Chemokine Therapeutics Coif	D. Hassan SALARI
Title	President - ce	
Signature	Da	te Mey 06, 20, 2

POWER OF ATTORNEY BY ASSIGNEE



Attorney Docket	SMAR012CIP	
First Named Inventor	Tudan et al.	
Application Number	10/086,177	
Filing Date	February 26, 2002	
Examiner Name	N/A	
Group Art Unit	N/A	
Confirmation No.	N/A	
Title: CXCR4 Agonist Treatr	ment of Hematopoietic Cells	

The University of British Columbia, assignee of the above-identified application by assignment dated 5/5/02, 5/6/02, 5/6/02, 5/9/02, 5/9/02, 5/19/02, 5/06/02

hereby appoints:

BOZICEVIC, FIELD & FRANCIS LLP

Name	Registration No.	Name	Registration No.
Karl Bozicevic	28,807	Pamela J. Sherwood	36,677
Bret E. Field	37,620	Carol M. LaSalle	39,740
Carol L. Francis	36,513	Paula A. Borden	42,344
Alan W. Cannon	34,977	Frank P. Becking	42,309
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as its attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

DIRECT ALL CORRESPONDENCE TO:

Individual Name	Bret E. Field		
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Address	200 Middlefield Road, Suite 200		
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Name and Company	David P. Jones	and But Colors
Title	Associate Director University-Industry Liaison	:
Signature	7	Date buil